

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wang, Shaomeng

Serial No.:

10/729,156

Filed:

12/05/2003

Group No.:

1617

Examiner: Hui, San Ming R.

Entitled: **Small Molecule Antagonists of BCL-2 Family Proteins**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 13, 2006

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of a first Office Action, therefore Applicant believes no fee is required. If the Commissioner deems otherwise the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated:

January 13, 2006

Robert A. Goetz

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900



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	Jennifer B. Xistris

Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- US Patent 6,114,397
- Vichanova et al., Antibiotics (moscow) 13:828-829 (1968) (Abstract in English)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated:

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Robert A. Goetz

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PTO/SB/08A (08-03)

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Sheet 1

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/729,165		
Filing Date	12/05/2003		
First Named Inventor	Wang, Shaomeng		
Art Unit	1617		
Examiner Name	Hui, San Ming R		
Attorney Docket Number	UM_08477		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,114,397	09/05/2000	Flack, et al	Whole Document
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FOREIGN PATENT DOCUMENTS						
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO **Application Number** 10/729,165 INFORMATION DISCLOSURE Filing Date 12/05/2003 STATEMENT BY APPLICANT **First Named Inventor** Wang, Shaomeng Art Unit 1617 (Use as many sheets as necessary) **Examiner Name** Hui, San Ming R Attorney Docket Number Sheet 2 UM-08477 οf

	NON PATENT LITERATURE DOCUMENTS	
Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
2	Vichanova et al., Antibiotics (moscow) 13:828-829 (1968) (Abstract in English)	
	No. ¹	Cite No.¹ Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Vichanova et al., Antibiotics (moscow) 13:828-829 (1968) (Abstract in English)

Examiner	Date	
Signature	Considered	

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